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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/677,000

09/29/2000

Adrian William Spender

GB9-2000-0096-US1

dward H. Duffield IBM Corporation IPLaw, Dept. T81/Bldg. 503 P.O. Box 12195 Research Triangle Park, NC 27709



FORMALITIES LETTER OC000000005617567

> Date Mailed: 12/13/2000 Due: 2-13-2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/10/2001 SDUONG 00000039 090461 09677000

01 FC:105 130.00 CH IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of

Adrian William Spender

Ser. No. 09/677,000

Filed: 09/29/00

For: Enabline Multiple Client access to a Process-

Based System or Program from a Single Java Virtual:

Machine

January 5, 2001

IBM Corporation

Dept.T81/Bldg. 062

P.O. Box 12195

Park, NC 27709

Notice to File Missing Parts of Application

Filing Date Granted

Assistant Commissioner for Patents Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated December 13, 2000, please find enclosed a Declaration and Power of Attorney with original signature for the above identified application.

The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

The surcharge of \$130.00 set forth in 37 CFR 1.16(e)

Any additional filing fees required under 37 C.F.R. §1.16.

Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

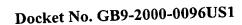
Bruce Clay

Attorney for Applicants Reg. No. 32,121

ABC:cmr

Docket No: GB9-2000-0096US1

Phone: 919-254-6717 Fax: 919-254-4330



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Adrian William Spender

Serial No.:

09/677,000

Filed:

09/29/00

For: Enabling Multiple Client Access to a Process-Based System or Program fro

a Single Java Virtual Machine

Assistant Comissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

- 1. Notice to File Missing Parts of Application (In duplicate)
- 2. Copy of the Notice to File Missing Parts from Patent Office
- 3. Declaration and Power of Attorney
- 4. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents Washington, D.C. 20231

on January 5, 2001

Catherine M. Robbins
(Name of person mailing paper or fee)

(Signature of person mailing paper of fee)